


Puerto Rico Financial Oversight and Management Board

Form Adopted January 2017

Date of Appointment/Termination:	August 31, 2016
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Financial Disclosure Report

Filer's Information			
Last Name	First Name	MI	Position
Gonzalez	Arthur	J.	Board Member
Other Commonwealth of Puerto Rico Government Positions Held During the Preceding 12 Months:			
Filer's Certification - I certify that the statements I have made in this report are true, complete and correct to the best of my knowledge:			
Signature: 		Date: 02/28/17 (The information in this report is certified as true, complete and correct as of August 26, 2016)	
Ethics Official's Opinion – On the basis of information contained in this report, I conclude that the filer's disclosed interests are not so substantial as to be deemed likely to affect the integrity of the services which the FOMB may expect from such member or employee (subject to any comments below)			
Signature:		Date:	
Comments of Ethics Official:			

Puerto Rico Financial Oversight and Management Board

Instructions for Part 1

Note: This form is subject to public disclosure. Do not include account numbers, street addresses, or family member names. See instructions for required information.

Filer's Name						Page Number
Gonzalez, Arthur J.						1
Part 1: Positions Held In Last Two Years						
#	Organization Name	City/State	Organization Type	Position Held	From	To
1.	New York University School of Law	NY/NY	Educational Institution	Senior Fellow	03/2012	Present
2.	AJG Advisors, Inc.	East Quogue/NY	Subchapter "S" Corporation	President	12/2012	Present
3.	Fordham University School of Law Alumni Association	NY/NY	Non-profit organization	Board Member Emeritus (unpaid)	03/2003	Present
4.	GM Bankruptcy Avoidance Action Trust Monitor	Wilmington/DE	Litigation Trust	Monitor	06/2015	Present
5.	RMBS Trust Claimants in Lehman Bankruptcy Proceeding	NY/NY	Trustees	Consultant in Litigation	12/2015	06/2016
6.	Detroit Bankruptcy Case	Detroit/MI	Arbitrator in dispute: Indenture Tr. & Detroit Water & Sewerage Dept.	Arbitrator	11/2014	01/2015
7.	Colliers International	NY/NY	Publisher	Editor	03/2014	Present
8.	Touch America Holdings Liquidation Trust	Baltimore/MD	Trust	Mediator	05/2015	07/2015
9.	Williams & Connolly LLP	Washington, D.C.	Law Firm	Litigation Consultant	02/2015	06/2015
10.	Rienzi & Sons, Inc.	Queens/NY	Food Distribution Company	Mediator	11/2015	01/2016
11.	Extended Stay Litigation Trust	NY/NY	Trust	Mediator	08/2014	01/2015
12.	Fordham University School of Law Minority Mentoring Program	NY/NY	Alumni Organization	Board Member/Director (unpaid)	04/2004	Present
13.	GT Advanced Technologies Corporation	Merrimack/NH	Corporation	Mediator	08/2015	09/2015
14.	Devices Liquidation Trust	Wilmington/DE	Trust	Mediator	10/2015	05/2016
15.	ResCap (GMAC Residential Capital) Bankruptcy Case	Minneapolis/MN	Corporation	Examiner	07/2012	06/2014
16.	AHM Liquidation Trust	Wilmington/DE	Trust	Mediator	04/2014	06/2014
17.	Hogan & Lovells, LLP	Washington, D.C.	Law Firm	Litigation Consultant	08/2014	11/2014
18.	Ancora Investment Trust, Inc.	Glyfodo/Greece	Corporation	Arbitrator	04/2015	Present
19.	Advanced Polymer Coatings, Inc.	Avon/OH	Corporation	Arbitrator	04/2015	Present



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Instructions for Part 2

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Filer's Name						Page Number
Gonzalez, Arthur J.						1
Part 2: Filer's Employment Assets & Income and Retirement Accounts						
#	Description	EIF	Value	Income Type	Income Amount	
1.	AJG Advisors, Inc. (Subchapter "S" Corporation)			salary		\$80,000/yr
2.	Transamerica Asset Allocation Moderate (IMLLX)	Y	\$15,001 - \$50,000		None (or less than \$201)	
3.	Vanguard Target Retirement 2010 Fund	Y	\$15,001 - \$50,000		None (or less than \$201)	
4.	New York University School of Law			salary		\$165,000/yr
5.	Oppenheimer Portfolio Series: Active Allocation Fund C	Y	\$100,001 - \$250,000		None (or less than \$201)	
6.	"National Bankruptcy Practice Guide" Thompson Reuters/Rutter Group - Value not ascertainable			royalties		\$2,501 - \$5,000
7.	Wilmington Trust			consulting fee		\$12,143.10
8.	Manz Entities			mediation fee		\$5,062.50
9.	Detroit Water & Sewerage Dept.			arbitration fee		\$26,850
10.	US Bank (Corporate Trust Department)			arbitration fee		\$26,850
11.	Zayo Group Holdings			mediation fee		\$5,418.75
12.	Touch America			mediation fee		\$5,418.75
13.	Stoel Rives LLP			mediation fee		\$5,418.75
14.	AT&T			mediation fee		\$5,418.75
15.	Williams & Connolly LLP			litigation consultant		\$25,740.47
16.	Rienzi & Sons, Inc.			mediation fee		\$5,715
17.	Alma Bank			mediation fee		\$1,905
18.	Banco Popular			mediation fee		\$1,905
19.	GT Advanced Technologies, Inc.			mediation fee		\$5,062.50

20.	Law Debentures (account receivable)		\$1,001 - \$15,000		None (or less than \$201)	
	Wells Fargo (account receivable)		\$1,001 - \$15,000		None (or less than \$201)	
21.	U.S. Bank (account receivable)		\$1,001 - \$15,000		None (or less than \$201)	
22.	GM Bankruptcy Avoidance Action Trust			Trust monitor fee		\$224,000
23.						

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Instructions for Part 3

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Filer's Name			Page Number
Gonzalez, Arthur J.			1
Part 3: Filer's Employment Agreements and Arrangements			Date
#	Employer or Party	City/State	Status and Terms
1.	AJG Advisors, Inc.	East Quogue/NY	AJG Advisors, Inc. is a Subchapter "S" Corporation of which Filer owns 100%. Filer has a "single K" plan 401k plan agreement with AJG Advisors, Inc. as its sole employee.
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Instructions for Part 4

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Filer's Name			Page Number
Gonzalez, Arthur J.			1
Part 4: Filer's Sources of Compensation Exceeding \$5,000 in a Year			
#	Source Name	City/State	Brief Description of Duties
1.	New York University School of Law	NY/NY	Teaching
2.	AJG Advisors, Inc.	East Quogue/NY	Subchapter "S" Corporation of which Filer owns 100%. Services include consulting, arbitration and mediation.
3.	GM Bankruptcy Avoidance Action Trust Monitor (Wilmington Trust AAT Trustee)	Wilmington/DE	Court appointed monitor of litigation trust
4.	Detroit Water & Sewage Trustee	Detroit/MI	Arbitrator fee
5.	US Bank (Corporate Trust Department)	Saint Paul/MN	Arbitrator fee
6.	ResCap (GMAC Residential Capital) Bankruptcy Case	Minneapolis/MN	Examiner
7.	Rienzi & Sons, Inc.	Queens/NY	Mediation fee
8.	Extended Stay Litigation Trust	NY/NY	Mediation fee
9.	Madoff Bankruptcy	NY/NY	Mediation fee
10.	GT Advanced Technologies Corp.	Merrimack/NH	Mediation fee
11.	Zayo Group Holdings Inc.	Boulder/CO	Mediation fee
12.	Devices Liquidation Trust	Wilmington/DE	Mediation fee
13.	Sierra Pacific Mortgage Company	Las Vegas/NV	Mediation fee
14.	AT&T	Dallas/TX	Mediation fee
15.	Touch America	Baltimore/MD	Mediation fee
16.	Williams & Connolly LLP	Washington, D.C.	Litigation consultant fee
17.	Intel	Santa Clara/CA	Litigation consultant fee
18.	General Electric Credit Corporation	Norwalk/CT	Consultant fee

19.	Pinebridge Entities	NY/NY	Mediation fee
20.	AHM Liquidation Trust	Wilmington/DE	Mediation fee
21.	Manz Entities	Reutlingen/Germany	Mediation fee
22.	Davis Polk & Wardwell LLP	NY/NY	Mediation fee
23.	Stoel Rives LLP	Portland/OR	Mediation fee
24.	Hogan & Lovells, LLP	Washington, D.C.	Consultant fee
25.	Barclays	NY/NY	Mediation fee
26.	Wilmington Trust	Wilmington/DE	Mediation fee

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Instructions for Part 5

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Filer's Name	Page Number
Gonzalez, Arthur J.	1

Part 5: Spouse's Employment Assets & Income and Retirement Accounts

#	Description	EIF	Value	Income Type	Income Amount
1.	New York City Teachers Retirement System Tax Deferred Annuity - Fixed Return Fund		\$500,001 - \$1,000,000		None (or less than \$201)
2.	New York City Teachers Retirement System, defined benefit plan - Value not ascertainable			Pension (spouse)	
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Instructions for Part 6

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Filer's Name					Page Number	
Gonzalez, Arthur J.					1	
Part 6: Other Assets and Income						
#	Description	EIF	Value	Income Type	Income Amount	
1.	Checking & Savings accounts in U.S. bank (joint)		\$100,001 - \$250,000	interest	\$201 - \$1,000	
2.	U.S. brokerage money market account (spouse)		\$100,001 - \$250,000	interest	None (or less than \$201)	
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Instructions for Part 7

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Filer's Name	Page Number
Gonzalez, Arthur J.	1

Part 7: Transactions		Type	Date	Amount
#	Description			
1.	N/A			
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Instructions for Part 8

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Filer's Name						Page Number
Gonzalez, Arthur J.						1
Part 8: Liabilities						
#	Creditor Name	Type	Amount	Year Incurred	Rate	Term
1.	None					
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Instructions for Part 9

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Filer's Name				Page Number
Gonzalez, Arthur J.				1
Part 9: Gifts and Travel Reimbursements				Value
#	Source Name	City/State	Brief Description	
1.	N/A			
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